



APPOINTMENT AS FINANCIAL ADVISOR

I, _____; ID Number _____ hereby confirm the engagement of **Ngobese and Associates Financial Services cc, FSP 16787**, duly authorised and represented by **Malusi Thuthuka Mthokozi Ngobese** as my Financial Advisor, who undertakes before giving advice to:

- Make all compulsory disclosures required by law;
- Having provided the brokerage with my current personal and financial information, gather and establish my objectives and further perform the appropriate needs analysis;
- Provide product solutions that are suitable and affordable to me and accordingly aligned to my risk profile (where applicable);
- Record, store and retrieve advice given and provide me with a written copy thereof.

I/we understand and acknowledge the following:

- That by this appointment, all previous intermediaries through whom my/our policies and investments were affected or serviced with any company, will no longer represent me;
- That advice received will entitle Ngobese and Associates Financial Service to any future review fees and/or commissions that may be payable under my/our various investments and/or policies, alternatively that said review fees and/or commissions will be earned on the following policies and/or investments:

1. _____
2. _____
3. _____
4. _____

That, in the event where I/we appoint the Financial Advisor regarding my/our entire insurance and investment portfolio, such appointment will be limited to:

- Companies with whom my/our Financial Advisor has concluded Broking Agreements, and
- Product categories for which my/our Financial Advisor is licensed with the Financial Services Board.

Completed and signed at _____ on this _____ 20____





Ngobese & Associates
Financial Services